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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Srivastava

Confirmation No.: 2496

Application No.: 10/784,012

Group Art Unit: 1643

Filed: February 20, 2004

Examiner: SANG, Hong

For: METHODS FOR USING COMPOSITIONS
COMPRISING HEAT SHOCK PROTEINS OR
ALPHA-2-MACROGLOBULIN IN THE
TREATMENT OF CANCER AND INFECTIOUS
DISEASE

Attorney Docket No.: 8449-298-999
CAM No.: 7087584-999297

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.56 and § 1.97

BY HAND

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Applicant hereby directs the Examiner's attention to references A01-A45, B01-B44, and C01-C227, listed on the attached form entitled "List of References Cited by Applicant."

Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), copies of the cited U.S. patents (*i.e.*, references A04-A45) are not submitted herewith. Copies of references A01-A03, B01-B44, and C01-C227 are submitted herewith.

Identification of the listed references is not to be construed an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application. Applicant respectfully requests that the Examiner review the foregoing references and that the references be made of record in the file history of the application.


12/07/2006 MBERKE 00000033 523213 16784212
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While not to be construed as indicating that the Examiner should not review and consider fully all the listed references, Attorneys for Applicants particularly direct the Examiner's attention to references A01, A03, A04, A05, A08, A10, A11, A19, A33, A34, A35, A39, A40, A42, A43, B06, B07, B09, B10, B13, B31, B44, C18, C19, C20, C34, C35, C36, C48, C49, C73, C125, C137, and C150.

Pursuant to 37 C.F.R. §1.97(c), a fee of \$180.00 is believed to be due in connection with the filing of this Information Disclosure Statement. Please charge this amount, and the amount of any other required fee, to Jones Day deposit account no. 50-3013.

Date: December 5, 2006

Respectfully submitted,

 32,605
Adriane M. Antler (Reg. No.)

JONES DAY
222 East 41st Street
New York, New York 10017-6702
(212) 326-3939

Enclosure



Substitute for Form 1449A

LIST OF REFERENCES CITED BY APPLICANT
(Use several sheets if necessary)

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8449-298-999

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APPLICANT
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